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Reconstructing the spectrotemporal modulations of real-life sounds from fMRI response patterns

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Ethological views of brain functioning suggest that sound representations and computations in the auditory neural system are optimized finely to process and discriminate behaviorally relevant acoustic features and sounds (e.g., spectrotemporal modulations in the songs of zebra finches). Here, we show that modeling of neural sound representations in terms of frequency-specific spectrotemporal modulations enables accurate and specific reconstruction of real-life sounds from high-resolution functional magnetic resonance imaging (fMRI) response patterns in the human auditory cortex. Region-based analyses indicated that response patterns in separate portions of the auditory cortex are informative of distinctive sets of spectrotemporal modulations. Most relevantly, results revealed that in early auditory regions, and progressively more in surrounding regions, temporal modulations in a range relevant for speech analysis (~2–4 Hz) were reconstructed more faithfully than other temporal modulations. In early auditory regions, this effect was frequency-dependent and only present for lower frequencies (<~2 kHz), whereas for higher frequencies, reconstruction accuracy was higher for faster temporal modulations. Further analyses suggested that auditory cortical processing optimized for the fine-grained discrimination of speech and vocal sounds underlies this enhanced reconstruction accuracy. In sum, the present study introduces an approach to embed models of neural sound representations in the analysis of fMRI response patterns. Furthermore, it reveals that, in the human brain, even general purpose and fundamental neural processing mechanisms are shaped by the physical features of real-world stimuli that are most relevant for behavior (i.e., speech, voice).

auditory cortex | functional MRI | natural sounds | model-based decoding | spectrotemporal modulations

Many natural and man-made sources in our environment produce acoustic waveforms (sounds) consisting of complex mixtures of multiple frequencies (Fig. 1A). At the cochlea, these waveforms are decomposed into frequency-specific temporal patterns of neural signals, typically described with auditory spectrograms (Fig. 1B). How complex sounds are further transformed and analyzed along the auditory neural pathway and in the cortex remains uncertain. Ethological considerations have led to the hypothesis that brain processing of sounds is optimized for spectrotemporal modulations, which are characteristically present in ecologically relevant sounds (1), such as in animal vocalizations [e.g., zebra finch (2), macaque monkeys (3)] and speech (2, 4–7). Modulations are regular variations of energy in time, in frequency, or in time and frequency simultaneously. Typically, in natural sounds, modulations dynamically change over time. The contribution of modulations to sound spectrograms can be made explicit using 4D (time-varying; *Movies S1–S3, Left*) or 3D (time-averaged; Fig. 1C) representations. Such representations highlight, for example, that the energy of human vocal sounds is mostly concentrated at lower frequencies and at lower temporal modulation rates (Fig. 1C, *Left*), whereas the whinny of a horse contains energy at higher frequencies and at higher temporal modulations (Fig. 1C, *Right*).

Electrophysiological investigations in several animal species have reported single neurons tuned to specific spectrotemporal modulations at various stages of the auditory pathway [e.g., inferior colliculus (8), auditory thalamus (9)] and in the primary auditory cortex (10, 11). Intracranial electrocorticography (ECoG) recordings (12, 13) as well as noninvasive functional neuroimaging studies (14, 15) suggest that similar mechanisms are also in place in the human auditory cortex.

In the present study, we tested the hypothesis that the human auditory cortex entails modulation-based sound representations by combining real-life sound stimuli, high spatial resolution (7 Tesla) functional magnetic resonance imaging (fMRI), and the analytical approach of model-based decoding (16–20). Unlike the more common classification-based decoding, which only allows discriminating between a small set of stimulus categories, this approach embeds a representational model of the stimuli in terms of elementary features, thereby enabling the identification of individual arbitrary stimuli from brain response patterns (18, 19).

Specifically, we modeled the cortical processing of real-life sounds as the combined output of frequency-localized neural filters tuned to specific combinations of spectral and temporal modulations (14, 21). We then used this sound representation model in two sets of fMRI data analysis. In a first set of analyses,

Significance

The sounds we encounter in everyday life (e.g. speech, voices, animal cries, wind, rain) are complex and various. How the human brain analyses their acoustics remains largely unknown. This research shows that mathematical modelling in combination with high spatial resolution functional magnetic resonance imaging enables reverse engineering of the human brain computations underlying real-life listening. Importantly, the research reveals that even general auditory processing mechanisms in the human brain are optimized for fine-grained analysis of the most behaviorally relevant sounds (i.e., speech, voices). Most likely, this observation reflects the evolutionary feat that, for humans, discriminating between speech sounds is more crucial than distinguishing, for example, between barking dogs.

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The authors declare no conflict of interest.

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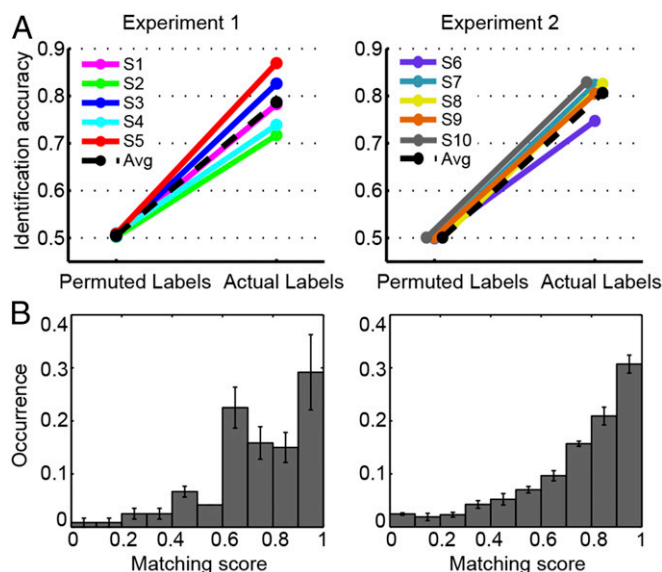


Fig. 2. Identification results. (A) Identification accuracy for individual participants. Each panel shows the accuracy obtained with correct labels and the accuracy derived by permuting the sound labels. (B) Average distribution of matching scores across subjects for the modulation-based reconstruction (mean \pm SEM, $n = 5$, for the two experiments separately). These matching scores are used to calculate the identification accuracy. Avg, average.

above chance (0.5) (experiment 1: 0.65 [0.33 0.91], $P < 0.05$; experiment 2: 0.75 [0.43 0.90], $P < 0.05$).

Region of Interest Analysis of Spectral and Temporal Information Content. Having established that the modulation-based model enables sufficiently accurate and specific sound reconstructions, we investigated how the decoding of the spectrotemporal modulation content varies throughout auditory cortical regions. We compared reconstruction performance of six anatomical regions of interest (ROIs): Heschl's gyrus (HG), planum polare (PP), planum temporale (PT), anterior superior temporal gyrus (aSTG), middle STG (mSTG), and posterior STG (pSTG) (Fig. 4A and [SI Materials and Methods](#)). For each subject and ROI, we estimated the multivoxel decoders and quantified, per each feature, the reconstruction accuracy as Pearson's correlation coefficient (r) between predicted and actual feature values in all sounds of the test set. This procedure resulted in an MTF per ROI (Fig. 4B), with corresponding marginal frequency (f), spectral modulation (Ω), and temporal modulation (ω) profiles (Fig. 5). These MTFs were assessed statistically and thresholded ($P < 0.05$, corrected for multiple comparisons; [SI Materials and Methods](#)), and thereby provide an objective measure of what information about each feature of the model is available in the ROI's response patterns.

Results indicated that in the HG, PP, and PT, a broader range of acoustic features can be decoded compared with STG regions (Fig. 4B; detailed pairwise comparisons between ROIs are shown in Fig. S3). The reconstruction accuracy profile for frequency was highest at around 0.8 kHz for the HG, PT, and PP and at lower frequencies for the aSTG, mSTG, and pSTG (Fig. 5). For the HG, PT, and PP, reconstruction accuracy for frequencies above 2 kHz and below 0.5 kHz was significantly higher than in the frequency range between 0.5 and 2 kHz (Bonferroni-adjusted $P < 0.001$). Note that this behavior for frequency was not present in the stimuli (Fig. S4A and B) and might be related to direct and indirect effects of the scanner noise (Discussion and Fig. S5). For the aSTG, mSTG, and pSTG, the reconstruction accuracy below 0.6 kHz was significantly greater than at higher frequencies (Bonferroni-adjusted $P < 0.001$). In all ROIs, the reconstruction accuracy profile for spectral modulations was

highest for lower modulations (with a steeper slope at higher frequencies in the HG, PT, and PT; Fig. 5), with reconstruction accuracies at the lowest spectral modulations [0.5 cycles per octave (cyc/oct)] significantly higher than at four cyc/oct (Bonferroni-adjusted $P < 0.001$). Thus, there was not a preferred range of spectral modulations, because brain responses followed the spectral modulation content of the stimuli (Fig. S4). Conversely, in all regions, the temporal modulation profile was highest for a range centered at ~3 Hz (Fig. 5). Reconstruction accuracy at 3.1 Hz was significantly higher than at 1 Hz and 9.7 Hz for the aSTG, mSTG, and pSTG (Bonferroni-adjusted $P < 0.001$). Visual inspection of the MTFs (Fig. 4B) indicated that for the HG, PP, and PT, this effect was only observed in the frequency range below 2 kHz. Formal statistical testing confirmed this observation (Bonferroni-adjusted $P < 0.001$). Instead, at frequencies above 2 kHz, reconstruction accuracy of temporal modulations for HG, PP, and PT was higher at 30 Hz than at 1 Hz (Bonferroni-adjusted $P < 0.001$). This distinctive reconstruction accuracy profile for temporal modulations could not be explained by the overall acoustic properties of the stimuli (Fig. S4).

ROI-Based Analysis Without Speech and Vocal Stimuli. The low temporal modulation rates for which we have obtained the highest reconstruction accuracy are prominently present in speech and

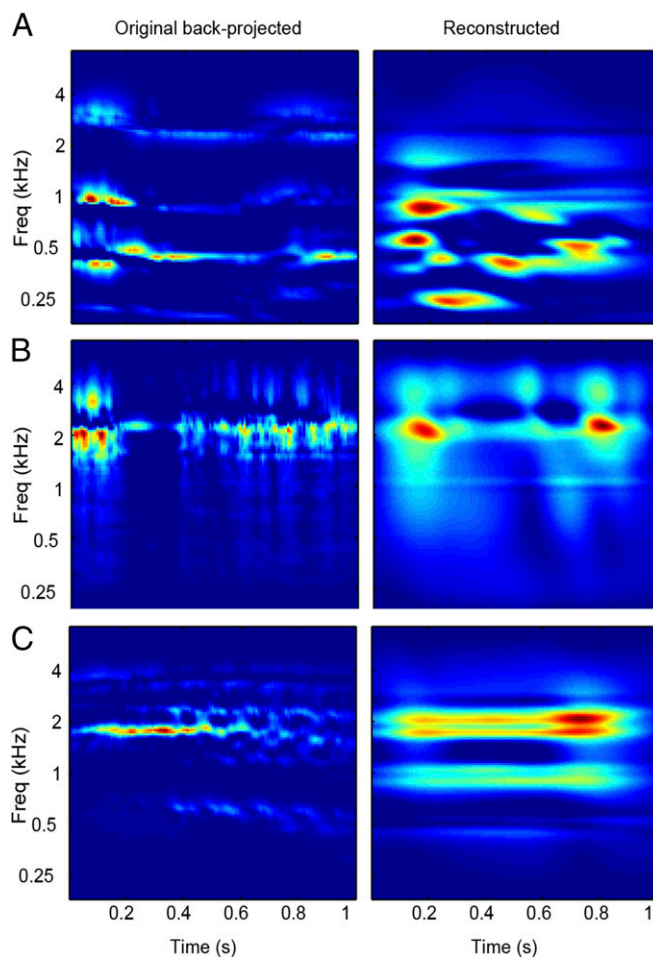


Fig. 3. Examples of reconstructed spectrograms. Reconstructed spectrograms for vocal (A), tool (B), and animal (C) sounds. The original spectrograms are depicted in Fig. 1. (Left) Reference spectrograms obtained by inverting the down-sampled (10 time bins) magnitude-only modulation representation of the original sounds (*SI Materials and Methods*). (Right) Sound spectrogram as reconstructed from the fMRI-based predictions (also *Movies S1–S3*).

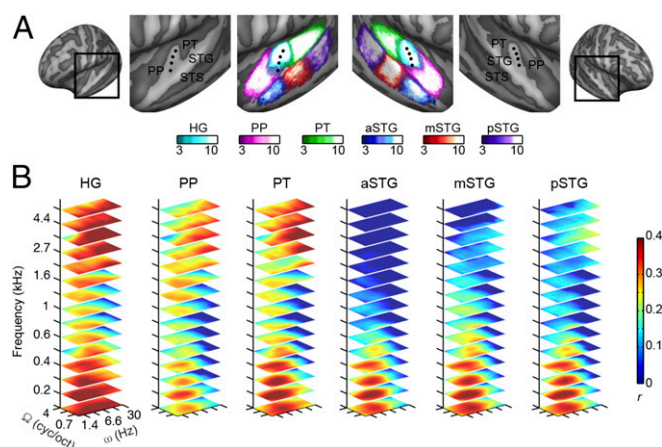


Fig. 4. MTFs of individual ROIs. (A) Inflated representation of the group cortical surface mesh. ROIs are shown with different colors scaled to indicate the overlap of defined regions across subjects. The black dots indicate the HG. (B) Each slice represents the MTF at a given frequency value (only 15 frequencies are shown). The color code indicates the group-averaged Pearson's r between reconstructed and original features. Features with a nonsignificant r are depicted in dark blue. The average MTF across hemispheres is shown. MTFs have been interpolated for display purposes. cyc/oct, cycles per octave.

vocal sounds, and are relevant for the analysis of syllabic information (23) and for speech intelligibility (6), for example. In many previous studies, processing of speech/voice (24–26) beyond the analysis of acoustic features has been related to stronger fMRI responses relative to other natural and control sounds, especially along the STG (and adjacent superior temporal sulcus). We thus performed a further analysis to control that the enhanced reconstruction accuracy of low temporal rates was not an indirect effect of decoding these global response differences. In this analysis, we removed all speech and vocal sounds from the stimulus set, retrained the decoders, and statistically reassessed the regional MTFs. Removal of speech and vocal sounds largely altered the relative contribution of low and high frequencies to the overall acoustic energy of the stimulus set used for training/testing the decoders, but affected temporal and spectral modulations less (Fig. S4). Fig. S6A shows, for all ROIs, the MTFs obtained with this reduced stimulus set and thresholded as in the previous analysis. The newly identified MTFs resembled the original ones very closely in early auditory regions (Pearson's r with the original MTFs, 3,600 features: HG = 0.90, PT = 0.83, PP = 0.89) and with larger deviations in STG regions [Pearson's r , 3,600 features: aSTG = 0.47, mSTG = 0.42, pSTG = 0.48]. In these latter regions, changes were most pronounced at the low frequencies. Importantly, in all ROIs, including early auditory as well as STG regions, the marginal profile for temporal modulation reconstruction accuracy remained unchanged (Fig. S6B), with a peak around 3 Hz (Pearson's r , over the 10 temporal modulations: HG = 0.99, PT = 0.99, PP = 0.98, aSTG = 0.97, mSTG = 0.97, pSTG = 0.95).

Discriminability Analysis. Electrophysiological recordings in zebra finches suggested that spectrotemporal population tuning of auditory neurons maximizes the acoustic distance between sounds, facilitating the animal's discrimination ability (27, 28). Under the assumption that the reconstructed accuracy of MTFs reflects the weighted distribution of neuronal populations tuned to the corresponding spectrotemporal modulation (SI Discussion), we tested the effects of this ROI-specific processing on sound discriminability. We calculated pairwise distances between sounds based on their original modulation representations as well as on the representations obtained by weighting the original representations based on the ROI-specific reconstruction accuracy of MTFs (Figs.

S7 and S8). Comparison of these two sets of pairwise distances across the entire stimulus set exhibited a complex pattern (Fig. S8A). However, a clear pattern emerged when the analysis was restricted to speech sounds (Fig. S7A). In all ROIs, we found a significant linear relationship between the normalized distances of filtered and original speech sounds (slope of the regression line: HG = 1.09, PP = 1.03, PT = 1.16, aSTG = 1.40, mSTG = 1.38, pSTG = 1.38; Bonferroni-adjusted $P < 0.001$, two-tailed t test). Pairwise distances for all regions fell mainly above the diagonal (slope significantly higher than 1; Bonferroni-adjusted $P < 0.001$, two-tailed z test), indicating significant amplification of sound distances. The regression line was significantly steeper for the PT than for the HG and for the aSTG, mSTG, and pSTG than for the HG and PT (Bonferroni-adjusted $P < 0.001$, two-tailed z test). We did not observe similar effects for the other sound categories (Fig. S8B–E), except for adults' vocal sounds (Fig. S7B).

Discussion

We applied an approach to embed models of neural sound representations in the analysis of fMRI response patterns, and thereby showed that it is feasible to reconstruct, with significant accuracy and specificity, the spectrotemporal modulation content of real-life sounds from fMRI signals. Successful decoding of the (time-averaged) spectral components of sounds could be expected based on the spatial organization of frequency in the auditory cortex (29, 30). Our current findings indicate that spectrotemporal sound modulations also map into distinct and reproducible spatial fMRI response patterns. This result is consistent with the hypothesis of a spatial representation of acoustic features besides frequency in primate (31) and human (14, 15, 32) auditory cortex.

The temporal specificity of obtained predictions indicated that not only the time-averaged modulation content of sounds but also modulation changes on the order of about 200 ms could be decoded from fMRI response patterns. Although consistent with recent reports of speech spectrogram reconstruction from ECoG recordings (12), this result is surprising, given the low temporal resolution of fMRI and the coarse temporal sampling [repetition time (TR) = 2.6 s] of brain responses. How is our result possible? We trained many ($n = 153,600$) multivariate decoders based on the same estimates of fMRI responses to the sounds. For each feature and for each time window, training resulted in a unique weighting of voxels' responses (i.e., the weights C_i). In other words, different sets of voxels were weighted relatively high or low for predictions corresponding to different features and time windows. This result suggests a mechanism by which spatial fMRI patterns are informed by temporal aspects of the sounds (also ref. 33). This

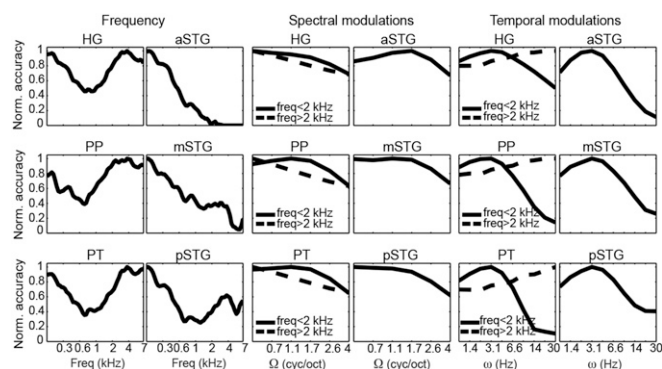


Fig. 5. ROI-based marginal profiles for frequency, spectral modulation, and temporal modulation. Each profile was obtained by averaging the MTFs along the other two dimensions and normalized (Norm.) by its maximum value. Based on the visual inspection of the full MTF (Fig. 4B) for the HG, PP, and PT, we computed distinct marginal tuning functions for frequencies below and above <2 kHz.

effect can occur, for example, if the activity of spatially separated neuronal populations is both specific to (combinations of) frequencies and modulations and time-dependent (10). However, many other neuronal and hemodynamic mechanisms likely contribute to our observations (34).

The ROI-based analyses revealed a number of interesting effects. In regions on the superior temporal plane (HG, PP, and PT), we observed a decrease in reconstruction accuracies for frequencies around 0.8 kHz, which corresponds to the frequency of peak energy for the scanner noise generated by our fMRI sequence (Fig. S5). In our clustered fMRI acquisition, sounds were presented during silent gaps between scans. It is thus possible that, similar to streaming paradigms (35), subjects modulated their attention to filter out the frequencies in the range of the scanner acoustic noise. Furthermore, the scanner noise between stimuli presentations might have affected the response to the auditory stimulation through, for example, adaptation of the neuronal population of interest or saturation of the BOLD response (36).

In the HG [the likely site of the primary auditory cortex (29)] and adjacent regions in the PP and PT, reconstruction accuracy for temporal modulation rates presented a clear dependency on frequency. For higher frequencies ($> \sim 2$ kHz), accuracy was highest for faster modulation rates (6.6–30 Hz), which was not observed in the more lateral regions on the STG. For lower frequencies, the reconstruction accuracy profile for temporal modulation rates was highest in the range of 2–4 Hz, with a peak around 3 Hz. This finding is consistent with previous fMRI studies that examined cortical responses to temporal modulation rates with broadband noise (37, 38), and especially with those studies using narrow-band sounds (39, 40). However, these previous studies did not report the interdependency between sound carrier frequency and temporal modulations as observed in our study. Such interdependency may relate to the psychoacoustic observation that for higher frequency carriers, detection of temporal modulation changes is poorest at slower rates (41).

In STG regions, the reconstruction accuracy profiles for temporal modulations were highest in the range of 2–4 Hz, which is in agreement with most previous fMRI studies (37–40). Under the assumption that the observed reconstruction accuracy reflects the tuning properties of neuronal populations, our discriminability analysis suggested that such an amplification of acoustic components may lead to sound representations optimized for the fine-grained discrimination of speech (and voices) rather than for natural sounds per se (28). This interpretation is consistent with the hypothesis that neuronal populations in higher level auditory cortex are preferentially “tuned” to acoustic components relevant for the analysis of speech (23, 42). For human listeners, speech is arguably the class of natural sounds with the highest behavioral relevance, and it is thus reasonable that the human brain has developed mechanisms to analyze speech optimally. Importantly, the discriminability effects were significant already in the HG (Fig. S7). Furthermore, neither in primary regions nor in STG regions were the profiles of reconstruction accuracy for temporal modulations affected by the removal of speech/voice sounds (Fig. S6B). Together, these findings put forward the hypothesis that, in the human brain, even the properties of neuronal populations in early auditory cortical areas and the general purpose mechanisms involved in the analysis of any sound have been shaped by the characteristic acoustic properties of speech. This hypothesis is consistent with psychoacoustic investigations showing that human listeners have highest sensitivity in detecting temporal modulation changes in the range of 2–4 Hz, even when tested with broadband noise and tones (43, 44). Finally, the tight link between these mechanisms and speech predicts that these properties are specific to the human brain, which could be tested by performing the same (fMRI) experiments and analyses in nonhuman species.

Our study extends ECoG investigations on the representation of speech (12, 13, 35) to fMRI and to sounds other than speech. Although lacking the exquisite temporal resolution of ECoG,

fMRI is noninvasive, enables large brain coverage, and approaches a spatial resolution in the submillimeter range (45). Together with the results of single-voxel encoding (14) (SI Discussion), the present study supports the hypothesis that the human auditory cortex analyzes the spectrotemporal content of complex sounds through frequency-specific modulation filters. Our proposed framework can be used to address relevant questions, for example, on how such processing changes due to ongoing task demands or to specific skill acquisitions (e.g., musical training, reading acquisition), brain development and aging, or hearing loss. Furthermore, in combination with submillimeter fMRI, it can be used to analyze the transformation of sound representations across cortical layers (45).

Whereas we choose to model the responses to real-life sounds, the MTF of a given region could be more simply estimated using synthetic sounds (e.g., dynamic ripples), with each one designed to include a unique combination of modulations (15). Compared with using synthetic stimuli, however, real-life stimuli appear advantageous for two reasons. First, they engage the auditory cortex in meaningful processing and, especially in nonprimary areas, they evoke larger responses compared with synthetic stimuli. Second, each stimulus contains a different combination of features of interest, such that the entire set of stimuli efficiently covers a wide range for each feature. The MTF of a region is then estimated by assessing which feature is accurately reconstructed from fMRI response patterns. This procedure allows estimating a region's reconstruction accuracy profile at the resolution of the representation model (discussed further in SI Discussion). With separately presented synthetic stimuli, obtaining such a resolution would involve the presentation of 15,360 different conditions, which is not practically feasible. Evidence from animal (46) and human (13, 47) electrophysiology indicates that spectrotemporal receptive fields and MTFs estimated using synthetic sounds are poor predictors of responses to natural sounds. In further studies, it would be relevant to investigate whether and to what extent this observation also applies to neuronal population responses as measured with fMRI.

Although efficient, the combination of real-life stimuli and model-based fMRI to study acoustic processing in auditory cortical regions has inherent caveats. Beyond the acoustic analysis, fMRI response patterns in the superior temporal cortex relate to higher levels of sound processing, as required, for example, for the perceptual and cognitive processing of speech (24, 25), voice (26, 48), or music (49, 50). These higher processing levels are not explicitly accounted for in the current sound representation model and may involve complex (nonlinear) transformations of the elementary acoustic features, which are the actual targets of the decoding. This simplified modeling may give rise to the possibility that a feature is successfully decoded only by virtue of its complex interrelation with other factors affecting the fMRI signal. The results of the analysis excluding speech and voice sounds confirmed that the tested model describes the processing of sounds in early auditory regions (HG, PT, and PP) as well as in STG regions well. These latter regions, however, were affected more by the exclusion of speech and voice sounds from the training set. Most likely, this finding reflects the fact that these regions are the sites of relevant (nonlinear) transformations of the acoustic input into higher level neural representations. The results of our discriminability analysis (Figs. S7 and S8) put forward the “zooming in” into an informative but limited subset of frequency/modulations as a mechanism that is potentially useful at the input stages of this transformation. Developing and testing computational descriptions of the full transformation chain, however, remain a challenge for future modeling and functional neuroimaging studies.

Materials and Methods

The Institutional Review Board for human subject research at the University of Minnesota (experiment 1) and the Ethical Committee of the Faculty of Psychology and Neuroscience at Maastricht University

(experiment 2) granted approval for the study. Procedures followed the principles expressed in the Declaration of Helsinki. Informed consent was obtained from each participant before conducting the experiments. Anatomical MRI and fMRI data were collected at 7 T and preprocessed using BrainVoyager QX (Brain Innovations). Auditory spectrograms and modulation content of the stimuli, as well as fMRI-based reconstruction of spectrograms and waveforms, were obtained using "NSL Tools" (www.isr.umd.edu/Labs/NSL/Software.htm) and customized MATLAB code (The MathWorks, Inc.). Methods for estimating fMRI response patterns, for

training and testing of the multivariate decoders, and for the statistical assessment of the results were developed and implemented using MATLAB (*SI Materials and Methods*).

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